

Key Fact Document – Margin Trading

Product Name	Key Features, Benefits and nature of the product	Interest Rates, Penalties & Other Charges/fees	Procedure to be followed to obtain the product	Main Terms & Conditions	Applicable legal provisions related to the product	Complaint Handling Procedure
Margin Trading	<ul style="list-style-type: none"> Funds are provided for share trading Shares constitute security Investors using Margin Trading are able to purchase more shares by leveraging their existing portfolio 	<ul style="list-style-type: none"> Rates as per tariff-sheet decided by ALCO. Exception (if any) as per tariff-sheet decided by ALCO is approved by D/CEO 	<ul style="list-style-type: none"> Initial discussion with the Customer on request of a facility. All required documents to be submitted by the Customer along with his/her share portfolio pledged with CSE registered stock brokers Accountant statement from the Stock Broker Evaluation from LOLCFINANCE Officers Approval process to take up to 3 	<ul style="list-style-type: none"> The applicant should be Sri Lankan within the legally acceptable age limit to obtain a Margin facility. Applicant can be a salaried/ self-employed, Corporate or Retired Individual Client/s profile should be in line and meet the requirements as per the 	<ul style="list-style-type: none"> Securities and Exchange Commission Act No. 19 of 2021 	<ul style="list-style-type: none"> Customers can place a complaint by following steps; <ul style="list-style-type: none"> ✓ Visit the branch the facility was granted and make a written complaint to the Regional Manager or Margin Trading Manager. If a solution is not given; <ul style="list-style-type: none"> ✓ Write to: Chief Operating Officer , ✓ LOLC Finance PLC.100/1, ✓ Sri Jayawardanapura Road, Rajagiriya ✓ Call On: Hot

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			<p>working days</p> <ul style="list-style-type: none"> • Signing of Agreements and Share Transfer Forms • Settlement of total outstanding to the Stock Broker 	<p>company lending criteria.</p> <ul style="list-style-type: none"> • All facility requests are subjected to a credit evaluation and all facility approvals will be at the sole discretion of the company. • In the event of Non- payment as per the agreement, customer is liable to pay all interest charged on behalf of the same to the company. 		<p>line: +94 11 5715555</p> <p>✓ E-mail On: info@lolcfinance.com</p> <p>Required Information to Place a complaint;</p> <ul style="list-style-type: none"> - Name - NIC Number - Mobile number - Email address (if any)
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